

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		<b>Attorney Docket No.</b> M4065.0154/P154-B	
		<b>First Inventor</b> Robert K. Carstensen	
		<b>Title</b>	<b>METHOD OF IMPROVED PROCESSING AND ETCHBACK OF A CONTAINER CAPACITOR</b>
		<b>Express Mail Label No.</b>	

  

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <b>29</b>]</span> <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul></p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets <b>17</b>]</span></p> <p>5. Oath or Declaration <span style="float: right;">[Total Sheets <b>2</b>]</span><ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li></ul></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li><li>b. Specification Sequence Listing on:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> Paper</li></ul></li><li>c. <input type="checkbox"/> Statements verifying identity of above copies</li></ul></p> <p style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <span style="float: right;"><input checked="" type="checkbox"/> Power of Attorney</span> <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <span style="float: right;"><input type="checkbox"/> Copies of IDS Citations</span></p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small></p> <p>17. <input type="checkbox"/> Other: <span style="border: 1px solid black; display: inline-block; width: 150px; height: 20px; vertical-align: middle;"></span></p>
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/928,308**

Prior application information: Examiner **V. Yevsikov** Art Unit: **2825**

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

  

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number: <b>24998</b> OR <input type="checkbox"/> Correspondence address below	
<b>Name</b> DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico	
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<b>Name (Print/Type)</b> Thomas J. D'Amico	<b>Registration No. (Attorney/Agent)</b> 28,371
<b>Signature</b>	<b>Date</b> December 16, 2003

13281 U.S. PTO  
121603

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004		Complete if Known	
Effective 10/01/2003, Patent fees are subject to annual revision.		Application Number	NOT YET ASSIGNED
		Filing Date	December 16, 2003
		First Named Inventor	Robert K. Carstensen
		Examiner Name	Not Yet Assigned
		Art Unit	N/A
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	M4065.0154/P154-B
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>770.00</b>			

  

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: <b>04-1073</b> Deposit Account Name: <b>Dickstein Shapiro Morin &amp; Oshinsky LLP</b>			
The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			

  

FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code    Fee (\$)	Fee Code    Fee (\$)		
1001    770	2001    385	Utility filing fee	770.00
1002    340	2002    170	Design filing fee	
1003    530	2003    265	Plant filing fee	
1004    770	2004    385	Reissue filing fee	
1005    160	2005    80	Provisional filing fee	
<b>SUBTOTAL (1)</b> (\$)			<b>770.00</b>

  

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			
Total Claims	10	-20** =	0.00
Independent Claims	1	-3** =	0.00
Multiple Dependent			

  

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202    18		2202    9		Claims in excess of 20	
1201    86		2201    43		Independent claims in excess of 3	
1203    290		2203    145		Multiple dependent claim, if not paid	
1204    86		2204    43		** Reissue independent claims over original patent	
1205    18		2205    9		** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b> (\$)			<b>0.00</b>		

  

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051    130		2051    65		Surcharge - late filing fee or oath	
1052    50		2052    25		Surcharge - late provisional filing fee or cover sheet.	
1053    130		1053    130		Non-English specification	
1812    2,520		1812    2,520		For filing a request for <i>ex parte</i> reexamination	
1804    920*		1804    920*		Requesting publication of SIR prior to Examiner action	
1805    1,840*		1805    1,840*		Requesting publication of SIR after Examiner action	
1251    110		2251    55		Extension for reply within first month	
1252    420		2252    210		Extension for reply within second month	
1253    950		2253    475		Extension for reply within third month	
1254    1,480		2254    740		Extension for reply within fourth month	
1255    2,010		2255    1,005		Extension for reply within fifth month	
1401    330		2401    165		Notice of Appeal	
1402    330		2402    165		Filing a brief in support of an appeal	
1403    290		2403    145		Request for oral hearing	
1451    1,510		1451    1,510		Petition to institute a public use proceeding	
1452    110		2452    55		Petition to revive - unavoidable	
1453    1,330		2453    665		Petition to revive - unintentional	
1501    1,330		2501    665		Utility issue fee (or reissue)	
1502    480		2502    240		Design issue fee	
1503    640		2503    320		Plant issue fee	
1460    130		1460    130		Petitions to the Commissioner	
1807    50		1807    50		Processing fee under 37 CFR 1.17(q)	
1806    180		1806    180		Submission of Information Disclosure Stmt	
8021    40		8021    40		Recording each patent assignment per property (times number of properties)	
1809    770		2809    385		Filing a submission after final rejection (37 CFR 1.129(a))	
1810    770		2810    385		For each additional invention to be examined (37CFR 1.129(b))	
1801    770		2801    385		Request for Continued Examination (RCE)	
1802    900		1802    900		Request for expedited examination of a design application	
Other fee (specify)					
<b>SUBTOTAL (3)</b> (\$)			<b>0.00</b>		

  

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Thomas J. D'Amico	Registration No. (Attorney/Agent)	28,371
Signature		Telephone	(202) 828-2232
		Date	December 16, 2003